

GENERAL INFORMATION

Title of Consultancy: Accountable Mining- Impact Evaluation

Application Closing Date: 26/01/2021

Consultancy Start and End Date: February-March 2021

Location of Consultancy: remote

BACKGROUND

Transparency International (TI) is the global civil society organisation leading the fight against corruption. Through more than 100 chapters worldwide and an international secretariat in Berlin, Germany, TI raises awareness of the damaging effects of corruption and works with partners in government, business and civil society to develop and implement effective measures to tackle it.

The Transparency International Secretariat (TI-S) in Berlin is seeking a consultant to conduct a final impact evaluation of the Accountable Mining Programme. The Accountable Mining Programme, led by the Global Programme Team within Transparency International Australia (TIA), is one of four Global Thematic Network Initiatives or specialised centres of thematic expertise in the TI movement. It seeks to enhance the contribution of mining to sustainable economic and human development through a focus on enhanced **transparency and accountability in awarding mining-related permits, licences and contracts** across a range of national jurisdictions. The programme focuses specifically on the approvals stage of the mining value chain.

The programme engages with a wide range of actors to create an enabling environment, and to positively influence practices in the mining sector. Participating TI Chapters and their local partners work together to support:

- **civil society and affected communities** in accessing, interpreting and monitoring information and decisions relating to the allocation of mining permits, licences and contracts;
- **operating companies** and their affiliates in adopting, implementing and enforcing relevant international transparency and accountability standards; and
- **governments** in adopting, enabling and enforcing effective transparency and accountability policies, procedures and practices.

The Accountable Mining Programme is now in its fifth year and approaching the end of Phase II. The first two years of the Programme constituted the research phase or Phase I. In Phase II, the Programme transitioned from a research focus to targeted national and global advocacy to address the corruption risks identified in the Phase I research. 23 TI Chapters around the world have now completed the research using the [Mining Awards Corruption Risk Assessment](#) tool. Each participating Chapter developed a three-year National Action Plan (NAP) detailing what they hoped to achieve and their proposed strategy and approach.

We are keen to evaluate the Programme and to understand the impact that the advocacy phase of our Programme has had. As part of this evaluation, we would also be interested in understanding alternative ways to measure and quantify our impact, such as utilising the Social Return on Investment (SROI) approach. We are looking for a consultant or consultancy with a diverse skill set to help us achieve this.

The Accountable Mining Programme is currently undertaking planning for our next phase. As a result of this, there are a number of complementary pieces of work we are commissioning for early 2021. In addition to this consultancy we have already commenced an evaluation focused on our gender work, as well as a separate research consultancy on how the COVID-19 pandemic will affect corruption in the mining licence approvals process.

OBJECTIVES

- To evaluate the effectiveness, impact and sustainability of the advocacy phase of the Accountable Mining Programme.
- To provide constructive recommendations on how the Programme can improve its effectiveness, impact and sustainability in the future.

KEY QUESTIONS

For each of the areas below, the consultant/s should distinguish between pre-COVID and during COVID context, also considering findings from the COVID-19 research paper.

Effectiveness:

- How effectively has the Global Programme Team supported implementing National Chapters in their planning, implementation and advocacy activities?
- What support did Chapters find most useful and why?
- How effective was the Programme's approach to building the capacity of participating Chapters? Specifically, in the areas of:
 - research
 - programme design/ management
 - fundraising
 - communications
 - advocacy
- Were the Programme objectives too broad to be effective? How could the Programme objectives be more focused in the future?
- How effectively did the Programme move from research (phase 1) to advocacy (phase 2)?
- How effectively did the Programme adapt to the impact of COVID-19?

Impact:

- Did the Accountable Mining Programme produce its intended results? If so, for whom, to what extent and in what circumstances? Specifically, consider the impact of:
 - Global advocacy
 - Chapter level advocacy
- Were there any unexpected or unplanned achievements?
- Was the Programme's Monitoring, Evaluation & Learning (MEL) plan fit for purpose?
- To what extent can observed impacts be attributed to the Programme in terms of policy, legislative, behavioural change? Specifically, consider the impact of:
 - Our global advocacy
 - Chapter level advocacy: How successfully were the research (phase 1) results and recommendations achieved/translated at the national advocacy stage (phase 2)?
- What were the unique strategies, tactics and activities of the Programme and enabling contextual factors that made a difference?

- Are there alternative ways that the programme could quantify and demonstrate our impact? For example, could we use an SROI approach? If so, what would such an approach show and how would the methodology apply?

Sustainability:

- How have Chapters ensured the sustainability of their interventions (project activities, mainly including advocacy work, multi-stakeholder engagement, policy and legislative change) and how could they improve these efforts in future iterations of the Programme?
- How has the Global Team ensured the sustainability of its interventions and how could sustainability be done better in future iterations of the Programme?

EXPECTED DELIVERABLES AND TIMELINE

The consultant or team of consultants is expected to deliver:

- An inception workshop with participants from the Global Team at TIA and TI-S.
- A concept note outlining the proposed methodology, timeframe of planned actions.
- A draft evaluation report for review and comments by staff at TIA and TI-S, including annexes covering interviews conducted and list of reviewed documents.
- A PowerPoint presentation for a validation workshop (likely virtually) to discuss the draft report.
- A final review report and a presentation to TI-S and TIA team members (virtually).

Guidance and quality assurance:

- The findings should be referenced.
- The consultant's approach should be guided by the Transparency International Impact Matrix methodology (https://www.transparency.org/whoweare/accountability/impact_monitoring/4) and the OECD evaluation criteria and guidelines (<https://www.oecd.org/dac/evaluation/daccriteriaforevaluatingdevelopmentassistance.htm>)
- The evaluation should follow the Ethical Guidelines for Conduct of Evaluations as set out by the Australian Evaluation Society (<https://www.aes.asn.au/about-aes>).

The Final Report should not be longer than 40 pages, excluding the annexes and the executive summary. All evaluation deliverables are to be submitted in English, in electronic form, in accordance with the deadlines stipulated below. The consultant is responsible for the quality of the final product, including editing and quality control of language. The TI Secretariat retains the sole rights with respect to all distribution, dissemination, and publication of the deliverables.

No travel is expected as part of this consultancy.

SELECTION CRITERIA

The Consultant should have the following qualifications:

Core competencies

People skills: be able to mediate the different expectations of different stakeholders in order to produce a strong evaluation report that will genuinely serve the learning purposes set out in this ToR.

Work style: is well planned and organised even within a fluid working environment and has capacity for initiative with competent analytical and problem-solving skills. Maintains regular communication with relevant stakeholders in TI-S and TIA

Language: excellent command of English to a native speaker's level. Proficiency in Spanish or French an asset.

Technical competencies

Applicants should have the following skills and experience:

- University degree in social sciences or a related area. A post-graduate degree in evaluation, and/or related fields would be an advantage.
- At least 10 years of proven relevant professional experience conducting multi-country, multi-stakeholder evaluations of international development or advocacy projects, with experience in the extractives or anti-corruption/governance space an advantage,
- Experience designing and conducting participatory evaluation methods particularly through virtual methods, with an understanding of gender.
- Experience developing and using SROI methodologies would be an advantage. This expertise could be in-house or external.
- Highly motivated and committed to the values of transparency and integrity
- Regional experience in one or all of Latin America, Sub-Saharan Africa and/or Central Asia and a good understanding of global political and socio-economic issues, with a specific focus on extractives industry and anti-corruption an advantage.

REMUNERATION AND COSTS

The Consultants should provide their estimated total fee as a lump sum or as standard daily or hourly rates as **gross inclusive of taxes and other charges**.

For Consultants based in the EU, EEA and Switzerland

Transparency International e.V. (Secretariat), (TI-S) is registered as a Business Entity in Germany with VAT identification number DE273612486. In order to determine the Value Added Tax (VAT) implications of this tender (e.g. EU Reverse Charge Mechanism), we kindly request that Consultants fill out the **VAT Form for Tenders/Vendor Form** (instructions inside the form) and submit the completed and duly signed form along with their email application.

The link to the VAT Form for Tenders/Vendor Form is available below on the Careers page.

CONTACT INFORMATION

The application should include the following documents in English:

- Business proposal with quotes

- Motivation letter and Curriculum Vitae
- One sample of relevant previous work (confidentiality guaranteed).
- Completed VAT Form for Tenders (**Only for Consultants based in the EU, EEA and Switzerland**)

Please indicate “Final Impact Evaluation” in the subject line of your email application. Applications should be sent in English by email to iningfinaleval@transparency.org by close of business of 26/01/2021

Please note that only shortlisted applicants will be contacted.

The Transparency International Secretariat is committed to creating an inclusive work environment where diversity is valued and where there is equality of opportunity. We actively seek a diverse applicant pool and therefore welcome applications from qualified candidates of all regions, countries, cultures, and backgrounds.

Selection of candidates is made on a competitive basis and we do not discriminate on the basis of national origin, race, colour or ethnic background, religious belief, sex, gender identity and expression or sexual orientation, marital or family status, age or ability. We kindly ask applicants to refrain from including in their application information relating to the above as well as from attaching photos.

Data protection

When you respond to this tender and submit your application, you provide consent that Transparency International e. V. keeps your application materials for the period of ten years according to German legal requirements. Afterwards Transparency International will delete your application and any personal data included in it. If you have any questions please reach out to dataprotection@transparency.org