# **KANTAR**

# Global Corruption Barometer in the Member States of the European Union

Technical and evaluation report

Brussels, 18 December 2020

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### 1. Introduction

### 1.1 The survey in brief

Transparency International commissioned Kantar Belgium to conduct the Global Corruption Barometer in all Member States of the European Union. The Global Corruption Barometer is the main instrument of Transparency International to measure the perception of corruption worldwide. Since its debut in 2003, the Global Corruption Barometer has surveyed the experiences of everyday people confronting corruption around the world.

### 1.2 Scope and aim of the survey

For the first time, the Global Corruption Barometer in the European Union was implemented using a sampling approach which allows for comparison of results at the sub-national level across European regions.

The survey measured in particular:

- People's views on corruption in their country
- How the level of corruption has changed and in which institutions the problem of corruption is most severe
- Experience of bribery in six different fields
- Perception of government's actions to tackle corruption

On behalf of Transparency International, Kantar Belgium interviewed samples representative of the general population, 18 years and older, in all European regions. The survey was carried out via telephone. Overall, more than 40,600 interviews were conducted.

### 1.3 Overview of the technical report

The following report describes the implementation of the study between August and December 2020. It provides the relevant information needed to assess the quality and reliability of the survey.

Specifically, this report documents the following aspects:

- Survey design, including the questionnaire, target population, survey mode, translation, scripting and sampling
- Data collection, including interviewer training, fieldwork timings, achieved targets, response rates, interviewer length and feedback from fieldwork
- · Data processing, including weighting
- Quality assurance, including the monitoring records and data quality controls
- Lessons learned for future iterations of the survey

# 2. Study design

#### 2.1 Questionnaire

The survey aimed at gathering insights about the experience of the general population with corruption and their attitudes towards this problem. In August 2020, Transparency International, supported by the research team of Kantar Belgium, developed a questionnaire to capture the general population's perception of corruption. The questionnaire consisted of six parts:

- Screener section
- Perception of corruption (section A)
- Personal experience of corruption (section B)
- Corruption in the political process (section C)
- Attitudes towards corruption (section D)
- Sociodemographic section

The pilot survey showed that the questionnaire had to be shortened in overall length. To achieve a shorter questionnaire, the section C was not included during the main fieldwork.

Figure 1: Modules of the questionnaire

Screener section	<ul> <li>Information for respondents, recruitment and identification of target regions</li> </ul>
Section A: Perception of corruption	<ul> <li>Institutional trust and perception of corruption in national and international institutions</li> </ul>
Section B: Personal experience of corruption	Experience of giving favours and bribery in national institutions, including requests of sexual nature
Section C: Corruption in the political process*	Experience of influence and intimidation during the election process
Section D: Attitudes towards corruption	Views on corruption in general and corrupt practices in the country
Sociodemographic section	Sociodemographic information, media use and position on the political spectrum

The final questionnaire is added as **Annex B Questionnaire** to this report.

### 2.2 Target population

The survey targeted the general population in all European regions, aged 18+ and older. The sample sizes per country were chosen to achieve a regional representative sample for the general population. The table below provides an overview of the regional level and target sample sizes of the survey for each country.

Table 1: Regional target level and sample sizes by country

0	Regional sample targets		Tatalaamula
Country	Target level	Sample size	Total sample
Austria	NUTS1	300	900
Belgium	NUTS1	300	900
Bulgaria	NUTS2	500	3000
Croatia	NUTS1 (national)	1000	1000
Cyprus	NUTS1 (national)	500	500
Czechia	NUTS1 (national)	1000	1000
Denmark	NUTS1 (national)	1000	1000
Estonia	NUTS1 (national)	1000	1000
Finland	NUTS1 (national)	1000	1000
France	NUTS1	300	3600
Germany	NUTS1	300	4800
Greece	NUTS1	300	1200
Hungary	NUTS1	300	900
Ireland	NUTS1 (national)	1000	1000
Italy	NUTS1	300	1500
Latvia	NUTS1 (national)	1000	1000
Lithuania	NUTS1	500	1000
Luxembourg	NUTS1 (national)	500	500
Malta	NUTS1 (national)	500	500
Netherlands	NUTS1	300	1200
Poland	NUTS1	300	2100
Portugal	NUTS1	700/150	1000
Romania	NUTS2	500	4000
Slovakia	NUTS2	500	2000
Slovenia	NUTS1 (national)	1000	1000
Spain	NUTS1	300	2100
Sweden	NUTS1	300	900

In all but four countries the regional level of the survey was set at NUTS1 level. In order to allow a more detailed analysis, in four countries (Bulgaria, Lithuania, Romania and Slovakia), the regional

level was set at NUTS2 level and the target sample size per region was increased. The design used the latest available Eurostat NUTS classification of European regions which is the current NUTS 2016 classification.<sup>1</sup>

In addition to this design, extra targets were set to achieve at least:

- 300 completed interviews in each of the two NUTS2 regions of Croatia (HR03, HR04)
- 300 completed interviews in each of the three NUTS2 regions of Ireland (IE04, IE05, IE06)

In order to achieve feasibility, some cases smaller regions were not included in the survey. These following regions were not covered:

- France: Corsica (FRM) and overseas territories Guadeloupe, Martinique, Guyana, La Réunion, Mayotte (FRY)
- Spain: Ceuta (ES63) and Melilla (ES64)
- Finland: Åland (FI2)

The regional distribution of the survey is documented in **Chapter 3: Data collection** of this report.

### 2.3 Survey mode

In all 27 Member State countries (and all NUTS regions), Kantar interviewed respondents through telephone interviews, with an appropriate mix of landline and mobile contacts. For interviews in telephone mode, Kantar's centralised Global Research Centre CATI was used to coordinate and monitor the fieldwork in each country. Respondents were called on both fixed lines and mobile phones.

The sampling approach is documented in a separate sampling approach note and in **Section 2.6 Sampling** of this report. As part of the Global Research Centre, Kantar has developed its own RDD (Random Digit Dialling) sample generation capabilities based on using contact telephone numbers obtained from respondents in random probability or random location face-to-face surveys as seed numbers.

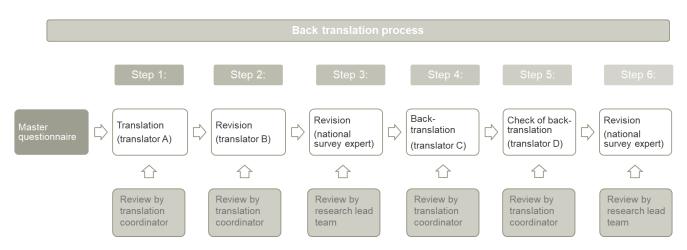
### 2.4 Translation

Translation is a crucial aspect in a multi-country survey: the quality of data collected and consequently the reliability of the conclusions of a survey depends greatly on the quality of the translations. Kantar Belgium used its own translation department to deliver the required quality and was responsible for the translation process.

The procedure established by Kantar Belgium for translation aims to ensure perfect correspondence between a master questionnaire and the final translation. For the survey, the questionnaire was translated through a multi-stage backtranslation process, using the in-house translation coordination tool NeferTT. The translation was shared for approval with the Transparency International research team. The National Chapters of Transparency International were asked to provide feedback on the translation which was subsequently implemented in the questionnaire.

<sup>&</sup>lt;sup>1</sup> Eurostat NUTS classification: <a href="https://ec.europa.eu/eurostat/web/nuts/background">https://ec.europa.eu/eurostat/web/nuts/background</a>

Figure 2: Overview of the translation process



The same questionnaire was used in all countries (with adaptations for country specific items). Together with training materials for the interviewer instructions, the questionnaire was translated from the English master file into the main languages of each country. The following table shows the languages covered in the survey.

Table 2: Interview languages by country

Country	Language
Austria	German
Belgium	French
	Flemish
Bulgaria	Bulgarian
Cyprus	Greek
Czechia	Czech
Germany	German
Denmark	Danish
Estonia	Estonian
	Russian
Greece	Greek
Spain	Spanish
	Catalan
Finland	Finnish
France	French
Croatia	Croatian
Hungary	Hungarian
Ireland	English
Italy	Italian
Lithuania	Lithuanian

Luxembourg	French
	Luxemburgish
	German
Latvia	Latvian
	Russian
Malta	Maltese
	English
Netherlands	Dutch
Poland	Polish
Portugal	Portuguese
Romania	Romanian
Sweden	Swedish
Slovenia	Slovenian
Slovakia	Slovak

### 2.5 Scripting

The finalised questionnaire was scripted in the Qlib toolkit. Qlib is Kantar's in-house questionnaire design tool. Qlib provides and efficient and accurate approach in creating questionnaires. The process for scripting was carried out as follows:

- The Qlib questionnaire was made available to the scripting team, who reviewed it before starting work and sought clarification about anything that seems unclear or ambiguous.
- The questionnaire was initially be scripted in English, to produce a single master script. The script was tested by the team in Kantar and the research team of Transparency International
- The single master script, containing all programming instructions, was then adapted for each country, with the questionnaire text overwritten by the relevant country-specific translation.

At the end of the scripting process, a dummy dataset, containing 10,000 interviews, was created and tested by the Kantar teams and the research team of Transparency International.

#### 2.6 Sampling

The sample design of the study is fully probabilistic in the largest regions and a combination of probabilistic and targeted design in the smaller, less populated regions. The targeted sample used in smaller regions is geo-tagged mobile RDD which allows for a minimum percentage of responses from mobile phones within these regions.

To maximise both coverage and representation of the target population an overlapping dual frame design was used in almost all countries. The sample frame in all countries except Finland and Sweden is the official numbering plan that guides the national telecommunications agency's allocation of new numbers. In Germany and Italy, where the landline numbering plans are open, it is extremely inefficient to sample directly from the numbering plan, due to the extremely high

volume of inactive numbers generated. In these two countries, for the landline sample, we used list-assisted RDD, utilising the 'white pages' and social media sources to build a 'list' of telephone number blocks from which to generate our sample. In Finland and Sweden, where telephone registers exist with regional information, we used these registers as our sample frame.

The process by which we draw a sample of geo-tagged mobile sample followed that we used for mobile RDD, generating a random sample of numbers based on the mobile numbering plan. Once we generated this sample, we then checked which numbers are active based on home location register lookup service (HLR). HLR is a non-invasive legal way to identify if a mobile number has been activated by an operator or not. The active numbers were then geo-tagged. The geo-tagging data is coming from google snippets where our sample supplier checks whether an active mobile RDD number is listed on the web and has any region info like postcode or city linked to it (any data we use is publicly available).

### 2.7 Respondent selection and recall strategy

For the mobile sample, the person answering the phone - if the primary user and eligible – was selected as respondents. If the person answering was not the primary user, the interviewer asked to speak to them to ascertain eligibility. For the landline sample, one individual was sampled at random from within the same household from all those eligible. Our approach was to use the last birthday rule, where the person in the household who last had a birthday is selected.

A minimum 5 call strategy to non-contacts for both the mobile and landline samples was applied. Calls were made at different times of day and days of week with a minimum of 50% of all calls made after 5pm on Monday to Sunday. The time of day calls are made was managed by the dialler systems, that can ensure minimum time lags between calls to the same number. A minimum of 1 day were set between each call to the same number for non-contacts, whilst for busy numbers we called them back after 20 minutes.

### 2.8 Pilot survey

Before the start of the main stage data collection, a pilot survey was conducted in all countries that are covered by the study. The aim of the pilot survey was to test the validity and robustness of the survey instrument as well as gaining experience in the practical implementation of the survey.

The target was to complete 10 interviews per country. The pilot survey was carried out in all 27 Member States of the European Union between 24 and 28 September 2020. The findings from the pilot survey were applied to improve the instrument and fieldwork setup. The following steps were taken:

- Improvement of the questionnaire flow (revision of introduction and working of question Q10)
- Revision of questions to improve understanding for respondents (most notably in questions Q3, Q4, Q17, Q21, Q22, Q24, Q25)
- Shortening overall questionnaire length (questions Q6, Q18, Q19, Q20, Q28 were removed or reduced)

The pilot report is added as **Annex C Pilot report** to this report.

### 3. Data collection

### 3.1 Interviewer training

A central briefing for the country project managers was held jointly by the Public Division team of Kantar Belgium and the coordination team of Kantar's Global Research Centre on 22 September 2020. Beforehand, country teams had received and reviewed the localised questionnaires and were provided all briefing materials. The briefing materials included a survey administration manual, a detailed manual explaining the questionnaire, the master questionnaire and the translated and localised questionnaire for each country. The country teams also had access to the local scripts, in order to familiarise themselves with the script and conduct interviewer trainings.

### 3.2 Fieldwork dates

The fieldwork was carried out between 13 October and 6 December 2020. In Lithuania, the fieldwork started slightly later than in other countries due to a technical issue which was resolved after a several days. The table below shows the fieldwork dates per country.

Table 3: Fieldwork dates by country

Country	Fieldwork start	Fieldwork end
Austria	13/10/2020	30/11/2020
Belgium	13/10/2020	19/11/2020
Bulgaria	13/10/2020	06/12/2020
Croatia	13/10/2020	05/11/2020
Cyprus	13/10/2020	18/11/2020
Czechia	13/10/2020	05/11/2020
Denmark	17/10/2020	30/11/2020
Estonia	13/10/2020	10/11/2020
Finland	13/10/2020	10/11/2020
France	13/10/2020	01/12/2020
Germany	13/10/2020	23/11/2020
Greece	13/10/2020	25/11/2020
Hungary	13/10/2020	05/11/2020
Ireland	14/10/2020	30/11/2020
Italy	13/10/2020	20/11/2020
Latvia	16/10/2020	08/11/2020
Lithuania	26/10/2020	19/11/2020

Luxembourg	12/10/2020	05/11/2020
Malta	13/10/2020	18/11/2020
Netherlands	13/10/2020	30/10/2020
Poland	13/10/2020	15/11/2020
Portugal	13/10/2020	24/11/2020
Romania	13/10/2020	04/12/2020
Slovakia	13/10/2020	23/11/2020
Slovenia	13/10/2020	19/11/2020
Spain	13/10/2020	27/11/2020
Sweden	13/10/2020	24/11/2020

During the time of fieldwork, the following events took place:

- In Cyprus, on 12 October 2020, shortly before the start of fieldwork, a political scandal about sold passports was present in the news.<sup>2</sup>
- In Lithuania, general parliamentary elections took place on 11 and 25 October 2020.3

The list of fieldwork institutes is added as **Annex A List of partner institutes** to this report.

https://cyprus-mail.com/2020/10/13/political-parties-call-on-syllouris-to-resign/
https://www.aljazeera.com/news/2020/10/12/cypriot-politicians-implicated-in-plan-to-sell-criminals-passport
https://rezultatai.vrk.lt/index\_en.html

### 3.3 Achieved sample sizes

Following the study design, the overall target was to achieve 40,600 completed interviews. As the target were slightly surpassed in the some of the regions, the overall number of achieved interviews is 40,663 completes. The table below summarises the achieved sample sizes per region in all countries.

Table 4: Achieved sample size by region

Country	Region	Total target	Level	NUTS Code	Target	Achieved
Belgium	RÉGION DE BRUXELLES-CAPITALE / BRUSSELS HOOFDSTEDELIJK GEWEST			BE1	300	300
	VLAAMS GEWEST	900	NUTS1	BE2	300	301
	RÉGION WALLONNE			BE3	300	300
	Severozapaden			BG31	500	500
	Severen tsentralen			BG32	500	500
Dulgorio	Severoiztochen	3000	NUTS2	BG33	500	500
Bulgaria	Yugoiztochen	3000	NU132	BG34	500	500
	Yugozapaden			BG41	500	500
	Yuzhen tsentralen			BG42	500	500
Czechia	ČESKÁ REPUBLIKA	1000	NUTS1	CZ0	1000	1000
Denmark	DANMARK	1000	NUTS1	DK0	1000	1003
	BADEN-WÜRTTEMBERG			DE1	300	300
	BAYERN			DE2	300	300
	BERLIN			DE3	300	300
	BRANDENBURG			DE4	300	301
Cormony	BREMEN	4800	NUTS1	DE5	300	300
Germany	HAMBURG	4600	NOTST	DE6	300	300
	HESSEN			DE7	300	300
	MECKLENBURG-VORPOMMERN			DE8	300	300
	NIEDERSACHSEN			DE9	300	300
	NORDRHEIN-WESTFALEN			DEA	300	300

	RHEINLAND-PFALZ			DEB	300	300
	SAARLAND			DEC	300	300
	SACHSEN			DED	300	300
	SACHSEN-ANHALT			DEE	300	300
	SCHLESWIG-HOLSTEIN			DEF	300	300
	THÜRINGEN			DEG	300	300
Estonia	EESTI	1000	NUTS1	EE00	1000	1000
Ireland	IRELAND	1000	NUTS1	IE0	1000	1002
	VOREIA ELLADA			EL5	300	301
Crosss	KENTRIKI ELLADA	1200	NI ITC1	EL6	300	300
Greece	ATTIKI	1200	NUTS1	EL3	300	300
	NISIA AIGAIOU, KRITI			EL4	300	300
	NOROESTE		NUTS1	ES1	300	300
	NORESTE			ES2	300	300
	COMUNIDAD DE MADRID			ES3	300	300
Spain	CENTRO (ES)	2100		ES4	300	301
	ESTE			ES5	300	300
	SUR			ES6	300	301
	CANARIAS			ES7	300	301
	Île de France			FR1	300	300
	Centre-Val de Loire			FRB	300	302
	Bourgogne-Franche-Comté			FRC	300	304
	Normandie			FRD	300	303
	Nord-Pas-de-Calais-Picardie			FRE	300	300
Гионов	Alsace-Champagne-Ardenne-Lorraine	2000	NI ITC4	FRF	300	302
France	Pays de la Loire	3600	NUTS1	FRG	300	302
	Bretagne			FRH	300	301
	Aquitaine-Limousin- Poitou-Charentes			FRI	300	302
	Languedoc-Roussillon-Midi-Pyrénées			FRJ	300	302
	Auvergne-Rhône-Alpes			FRK	300	300
	Provence-Alpes-Côte d'Azur			FRL	300	303

	Corse			FRM	0	0
	Guadeloupe			FRY1	0	0
	Martinique			FRY2	0	0
	Guyane			FRY3	0	0
	la Réunion			FRY4	0	0
	Mayotte			FRY5	0	0
Croatia	HRVATSKA	1000	NUTS1	HR0	1000	1000
	NORD-OVEST			ITC	300	301
	SUD			ITF	300	300
Italy	ISOLE	1500	NUTS1	ITG	300	301
	NORD-EST			ITCH	300	300
	CENTRO (IT			ITI	300	301
Cyprus	KÝPROS	500	NUTS1	CY0	500	502
Latvia	LATVIJA	1000	NUTS1	LV0	1000	1001
Litherania	SOSTINĖS REGIONAS	4000	NUTS2	LT01	500	500
Lithuania	VIDURIO IR VAKARŲ LIETUVOS REGIONAS	1000		LT02	500	500
Luxembourg	LUXEMBOURG	500	NUTS1	LU0	500	501
	KÖZÉP-MAGYARORSZÁG		NUTS1	HU1	300	300
Hungary	DUNÁNTÚL	900		HU2	300	300
	ALFÖLD ÉS ÉSZAK			HU3	300	301
Malta	MALTA	500	NUTS1	MT0	500	501
	NOORD-NEDERLAND			NL1	300	302
The	OOST-NEDERLAND	1000	NU 1704	NL2	300	300
Netherlands	WEST-NEDERLAND	1200	NUTS1	NL3	300	300
	ZUID-NEDERLAND			NL4	300	301
	OSTÖSTERREICH			AT1	300	303
Austria	SÜDÖSTERREICH	900	NUTS1	AT2	300	300
	WESTÖSTERREICH			AT3	300	300
Daland	MAKROREGION POŁUDNIOWY	0400	NUTS1	PL2	300	300
Poland	MAKROREGION PÓŁNOCNO-ZACHODNI	2100		PL4	300	300

	MAKROREGION POŁUDNIOWO-ZACHODNI			PL5	300	300
	MAKROREGION PÓŁNOCNY			PL6	300	300
	MAKROREGION CENTRALNY			PL7	300	300
	MAKROREGION WSCHODNI			PL8	300	300
	MAKROREGION WOJEWÓDZTWO MAZOWIECKIE			PL9	300	300
	CONTINENTE			PT1	700	701
Portugal	REGIÃO AUTÓNOMA DOS AÇORES	1000	NUTS1	PT2	150	150
	REGIÃO AUTÓNOMA DA MADEIRA			PT3	150	150
	Nord-Vest			RO11	500	500
	Centru			RO12	500	500
	Nord-Est			RO21	500	501
D ! -	Sud-Est	4000	NUTC	RO22	500	501
Romania	Sud - Muntenia	4000	) NUTS2	RO31	500	502
	Bucuresti - Ilfov			RO32	500	502
	Sud-Vest Oltenia			RO41	500	500
	Vest			RO42	500	500
Slovenia	SLOVENIJA	1000	NUTS1	SI0	1000	1003
	Bratislavský kraj			SK01	500	500
Clavalda	Západné Slovensko	2000	NUTC	SK02	500	501
Slovakia	Stredné Slovensko	2000	) NUTS2	SK03	500	500
	Východné Slovensko			SK04	500	500
Finland	MANNER-SUOMI	1000	) NUTS1	l FI1	1000	1003
	ÖSTRA SVERIGE			SE1	300	301
Sweden	SÖDRA SVERIGE	900	900 NUTS1	SE2	300	302
	NORRA SVERIGE			SE3	300	300

### 3.4 Response rate

Following the AAPOR guidelines for response rate calculation in surveys, we derived the response rate based on the registered outcome codes in all countries.<sup>4</sup> The overall response rate of the survey was 5.2%. The below table shows the response rate by country.

Table 5: Response rate by country

Country	Response rate (RR1)
Austria	2.7%
Belgium	5.1%
Bulgaria*	13.2%
Croatia	2.2%
Cyprus	3.1%
Czechia	2.3%
Denmark	4.4%
Estonia	11.3%
Finland	6.4%
France	11.1%
Germany	4.3%
Greece	4.0%
Hungary	14.6%
Ireland	2.9%
Italy	3.0%
Latvia	9.4%
Lithuania	5.9%
Luxembourg	2.4%
Malta	8.0%
Netherlands	13.6%
Poland	3.4%
Portugal	5.9%
Romania	6.8%
Slovakia	10.6%
Slovenia	3.6%
Spain	7.5%
Sweden	11.1%

<sup>&</sup>lt;sup>4</sup> <a href="https://www.aapor.org/Standards-Ethics/Standard-Definitions-(1).aspx">https://www.aapor.org/Standards-Ethics/Standard-Definitions-(1).aspx</a>. This calculation follows the standard response rate calculation without estimated eligibility, AAPOR Response Rate 1 (RR1).

Due to differences how outcomes codes are registered, the response rate may appear higher or lower in some countries. In Bulgaria, the calculation includes all interviews that were conducted by the local Kantar institute.

### 3.5 Average interview length

The interview length was measured during fieldwork. The average interview length was 17 minutes and 12 seconds. The table below shows the minimum, maximum and average interview length per country. Please note, interviews that were interrupted and that resumed at a later point in time are only registered with the timings of the latest part of the interview. Therefore, the dataset contains a few interviews with a very short duration.

Table 6: Average interview duration by country (in minutes)

Country	Minimum	Maximum	Average
Austria	00:04:50	00:37:57	00:15:59
Belgium	00:05:17	01:23:54	00:18:26
Bulgaria	00:07:01	00:59:13	00:18:25
Croatia	00:08:18	01:27:46	00:16:43
Cyprus	00:06:36	00:37:59	00:16:06
Czechia	00:11:10	00:58:23	00:19:25
Denmark	00:08:58	00:56:58	00:16:53
Estonia	00:07:37	00:43:57	00:14:35
Finland	00:10:50	01:12:45	00:18:26
France	00:03:24	01:05:41	00:16:36
Germany	00:06:12	01:26:15	00:18:24
Greece	00:03:51	00:41:57	00:14:37
Hungary	00:05:09	01:06:08	00:15:15
Ireland	00:09:38	01:11:50	00:19:59
Italy	00:02:59	00:40:25	00:13:05
Latvia	00:10:29	00:34:51	00:17:27
Lithuania	00:08:25	00:44:38	00:16:18
Luxembourg	00:09:56	01:15:07	00:18:40
Malta	00:06:22	00:45:42	00:16:58
Netherlands	00:08:56	00:40:47	00:17:10
Poland	00:09:33	00:44:17	00:17:53
Portugal	00:11:12	00:58:26	00:18:41
Romania	00:02:57	01:40:51	00:16:40
Slovakia	00:07:23	01:49:45	00:17:46
Slovenia	00:09:12	00:44:38	00:16:37
Spain	00:08:32	00:49:45	00:16:24

Sweden 00:11:27 00:40:37 00:19:32
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#### 3.6 Evaluation feedback

At the end of fieldwork, the country teams provided feedback on the study in a standardised evaluation format. This feedback consists of general feedback on the questionnaire, feedback on specific questions, the translation, an assessment of the interest in the study and recommendations for improvement.

### Feedback from country fieldwork teams:

- Austria: Concerning content and structure of the questionnaire it worked very well and there were no major problems. The most difficult part is the proper coding of jobs and education at the end, as interviewers need to adjudicate a lot of information and know about a variety of different modes of working or learning, to find the correct answer. Flexibility with the mobile/fix quotas at the end is very much appreciated. Only a small percentage of interviews could be realized via fix numbers, which is becoming the usual result in Austria. Interest was better than expected, although we advised interviewers not to mention anything of politics in the introduction and state the topic generally as "life in Austria".
- Bulgaria: Moderate level of interest. A subset of the sample showed a very high level of
  interest and eagerness to participate. Elderly female respondents exhibited a lower level of
  interest in comparison to all the rest (partially due to perceptions of the topic as sensitive).
  Some respondents had a slight difficulty understanding "Q5" and interviewers had to repeat
  the labels of the scale. A considerable share of respondents viewed the survey topic as
  sensitive. This was the primary motivation to refuse participation in the survey.
- Cyprus: Quite long questionnaire for the respondents but easy going too. The topic was interesting.
- Germany: the subject of the survey had been of above-average interest to both the interviewer and the target group (of course, as far as respondents are concerned, this assessment is based on feedback from the interviewer and on interviews heard, so it is an interpretation). There were no issues with the general understanding of the survey
- Finland: In Q20, the question was "How often does this happen in your country" and the statement was "Appropriate actions being taken against officials who engage in corruption." This was understood in two ways. Some respondents felt that there wasn't much corruption among the public officials, so this rarely happens in general. But the intended meaning was that if corruption does happen, how often is appropriate action taken. The question about current occupation was a bit complicated, maybe it could be streamlined.
- Croatia: The level of interest was average-good, there were no issues with translations or understanding.
- Hungary: Operators highlighted that several "pro-government respondents" complained about the "left-wing approach" of the questionnaire. (Corruption is a hot topic in the Hungarian public discourse, and we think this is obviously a matter of interpretation because everyone had the opportunity to express their own view). Respondents were interested in the interview and there were no understanding or translation issues.
- Lithuania: The interest on the survey was "higher than usual" and there were no problems with understanding of the survey or translations.
- Luxembourg: The levels of interest were high.
- Malta: Overall, the level of interest was good and there were no translation issues.

- Poland: Generally, there were no problem with the survey. In one case, Q21 was
  misunderstood by the respondent. "Wiadomości" is a TV program on one of the TV
  stations. When asking the respondent how often he watches the news (wiadomości in
  polish) on TV, he thought it was about that particular TV program, not generally news.
  Overall, there were no issues with difficulty in translations
- Portugal: In question scales, the interviewers sometimes need to read the options again, since the respondents lost in the choice options. However, when the interviewers presented the scales options again, everything was clear and the respondents did not have difficulties to answer. In the short / direct questions, the respondents were greater speed and concentration in the answer. Some respondents had some difficulty to answer at very long questions. In the future, reduce the length of some questions (some of questions were too long).
- Romania: The level of interest was high, there were no problems with understanding or translations.
- Slovenia: The level of interest was average, there are no special remarks about issues with translations or understanding
- Slovakia: The question of sexuality seemed inappropriate to people. The level of interest was average. Q20 Some respondents had a problem with understanding this matrix. Way of phrasing was difficult, they did not know to connect the question with answers, which related to "how often". Q30 "Finally, in political matters people tend to speak about "the left" and "the right". How would you place your views on a scale from 0 to 10 where 0 is "the left" and 10 is "the right"?" Some respondents had a problem with understanding question. Lot of people, who do not have a good view to political matters, do not know if they their view is left or right. These terms are not so used among common people. The way of phrasing the questions could be simpler and shorter, sometimes interviewers have to explain or repeat the question to respondents
- Sweden: Perhaps changing introduction (regarding what we present as the topic of the survey) to something that is more likely to get respondents interested. When getting through the intro the respondents seemed to find the questionnaire interesting. It seemed that some respondents (especially younger ones) misunderstand Q8 as relating to current events regarding Covid-19, meaning that it seemed that some interpreted "personal connections" as meaning "contacts in person" (as opposed to contacts via phone, webconference etc.). It should be noted that this misinterpretation is probably easier to make in the Swedish translation ("personliga kontakter") than in the English one.

# 4. Data processing

The data from interviews was entered by interviewers directly into the CATI system. No additional data entry was required. For the quality measures applied during data processing, see chapter 9 on quality control. The data was formatted in the convention provided by the research team of Transparency International.

The code book documenting the structure of the data file is added as **Annex E Code book** to this report.

### 4.1 Weighting approach

The Global Corruption Barometer Survey sample design ensures an equal sample of respondents at either the NUTS1 or NUTS2 regional level of each country. Where the country is not broken down at the regional level, the design is an optimal mix of mobile and landline interviews or a mobile only design. The optimal mix is one that provides a net sample that best represents the population by gender, age, working status and educational attainment, thereby minimising the need for post stratification weights.

Where the country is broken down into regions the mix of mobile and landline interviews in each region aims to minimise screen-outs based on the respondent being in the wrong region, whilst also ensuring a minimum threshold on the number of responses via mobile sample. The minimum mix of mobile in each region is designed to ensure a net sample of respondents that provides a good regional representation. As it is not possible to target mobile RDD sample based on geography, it was supplemented with geo-tagged mobile sample in the survey. This sample is initially drawn as RDD sample, but it is then appended with a flag to identify its location.

Appending with geography is likely to have some impact on the representation of the mobile sample, however this was deemed preferable to a design with a relatively high percentage of landline interviews, especially in countries where the landline penetration is low. Weights are calculated to mitigate for some of the observable issues with the representation of the net sample of respondents due to our design choices and differential response rates.

### 4.2 Weighting process

In the first step, a design weight is calculated for the landline sample. The weight is equivalent to the number of adults (18+) in the household. This is to compensate for the fact that only one person in each household is selected to take part, so individuals in larger households have a lower probability of selection. For the mobile sample the design weight is 1, as the person answering the phone is selected and we have assumed people tend to own and use only one mobile phone.

In the second step the design weighted sample is calibrated to known population targets on gender, age, employment and educational attainment. The population targets are collected at the regional level, to align with the design used in each country and the calibration weights calculated at this level.

In the third and final step, a regional adjustment is made such that the weighted sample for each region is proportional to its target population. This ensures estimates calculated at the country level are representative by region.

Table 7: Weighting factors and population parameter sources

Population parameter	Source (Table)
Gender by Age	Eurostat: Population by (demo_r_pjangroup)
Employment	Eurostat EU LFS: Employment by sex, age and NUTS2 region (lfst_r_lfe2emp)
Educational attainment	Eurostat: Population aged 25-64 by educational attainment level, sex and NUTS 2 region (edat_lfse_04)

### 4.3 Weighting outcomes

Where an individual had a weight larger than 5, their weight was capped at 5 and the capped weights scaled to a mean of 1. This was to avoid individuals having too much influence over the survey estimates and to help minimise the variance in the final weights. Capping the weights at 5 does impact on the representation of the final weighted sample by those variables we weight on. However, this impact was minimal and is recommended to help improve the efficiency of the net weighted sample.

The weighted national samples were further calibrated so that the sum of the weights within country reflect the proportion of the eligible European population for that country. Thus, the countries with larger eligible populations will have more influence (weight) on the pan European survey estimates than those with small eligible populations, reflecting the differences in their populations.

An overview of the weighting outcome by is added as **Annex D Weighting efficiency** to this report.

# 5. Quality assurance

Data quality to a large extent is ensured by the centralised CATI scripting and controls undertaken during fieldwork. In addition to this, we carried out quality procedures relevant for data processing and editing.

### 5.1 Fieldwork monitoring

The country teams aim to monitor at least 5-10% of interviews during the fieldwork, as per standard data collection protocol by Kantar. In the table below, we summarise the monitoring of interviewers that was carried out during this study.

Table 8: Monitoring record during fieldwork

	Interviews			Interviewers			
	Achieved	Monitored	Percentage	Assigned	Monitored	Percentage	
Austria	903	128	14%	17	15	88%	
Belgium	900	90	10%	34	18	53%	
Bulgaria	600	360	60%	43	36	84%	
Croatia	1000	101	10%	14	14	100%	
Cyprus	502	75	15%	18	18	100%	
Czechia	1000	195	20%	47	47	100%	
Germany	4801	494	10%	351	199	57%	
Denmark	1003	100	10%	29	29	100%	
Estonia	1000	88	9%	30	17	57%	
Finland	1003	100	10%	21	20	95%	
France	3621	111	3%	111	111	100%	
Greece	1201	251	21%	34	34	100%	
Hungary	901	61	7%	14	12	86%	
Ireland	1002	73	7%	41	37	90%	
Italy	1564	235	15%	9	9	100%	
Lithuania	1000	100	10%	20	20	100%	
Latvia	1001	89	9%	22	20	91%	
Luxembourg	502	40	8%	17	17	100%	
Malta	501	108	20%	19	19	100%	
Netherlands	1203	121	10%	41	11	27%	

Poland	2100	122	6%	58	55	95%
Portugal	1001	98	10%	9	8	89%
Romania	4006	2010	50%	30	30	100%
Slovenia	1003	204	20%	21	21	100%
Slovakia	2001	102	5%	54	54	100%
Spain	2103	106	5%	20	20	100%
Sweden	903	95	11%	11	11	100%

### 5.2 Data processing

The organisation of the data processing stage ensured control of the coding and cleaning of the data, and the correct weighting of the raw sample to ensure that it is representative at national level.

The purpose of cleaning the data is to remove errors or aberrant values to produce consistent and correct results. Files are cleaned in several distinct stages:

- The identification and removal of incorrect codes or invalid numeric values, for each question;
- Missing values/ non-item response (generally pre-empted by CATI program which does not allow non-item response)
- Verification of data consistency internally

Data cleaning was carried out in real time directly in the Kantar Global Research Centre. The Kantar Global Research Centre aggregates the survey data instantaneously and provides the Coordination Centre and field managers in the countries or territories concerned "partial points" in real time. In other words, all the players may therefore carry out real-time checks on the adequacy of the work completed, both in terms of the scheduling and of the sample structure.

The continuous control of the central file by the Coordination Centre before the completion of the fieldwork has numerous advantages. Essentially, it enabled us to anticipate any problems (and thus save time) when the definitive file is delivered. Processes include:

- Checking that the programming of the script has properly respected the data map in the instructions drafted by the Coordination Centre;
- Checking once again the proper operation and application of the filters;
- Checking the coding;
- Checking the socio-demographic structure of the partial sample.

The centralised CATI infrastructure provided every institute with control reports on their own data, and a series of performance and quality indicators for the work of their interviewer teams. This method of transmission has the double benefit of making the data immediately available (the same day) and of allowing the secure central storage of data for the whole survey.

Data was encoded at national level in accordance with the instructions given by the Kantar Global Research Centre. The quality and comparability of the national results depend on compliance with these instructions.

The use of our Kantar Global Research Centre guaranteed the consistency of encoding, in particular in two vital stages before the launch of a survey:

- 1. The automatic, centralised production of the CATI scripts by Kantar Global Research Centre including translation. This enabled us to eliminate the manual editing stage of the survey scripts at country level, as well as all the risks of the incorrect transposition of the approved translation. The centralised platform guarantees that data will have to fall within a pre-determined range, filters will be applied automatically and correctly via central scripting, and consistency across items will be ensured by pre-programmed logic checks. The CATI programming will not allow for missing items or outliers. This completely centralised approach completely removes the possibility of these types of error from the fieldwork institutes, both from interviewers and supervisors.
- 2. Given that our institutes are obliged to use this centralised platform for their translation, it is impossible to encounter a difference between the approved translation and the content of the final questionnaire administered to the respondents. The same translation platform contains the approved translations and produces, solely from the said translations, the questionnaires intended to be used for the fieldwork via our Kantar Global Research Centre.

We instructed our fieldwork partner agencies to deliver intermediary data files on an ongoing basis. This intermediary data was checked completely both in terms of codification and consistency of the data. In addition, the data files were aggregated at an intermediary stage and through controls were carried out when 10%, 50%, 75% and 100% of completed interviews were achieved. Any problem would have been immediately reported back to the country fieldwork team and a corrective measure would have been taken to solve the issue in question.

### 6. Lessons learned

The survey provided insights that can be helpful for future iterations of the Global Corruption Barometer in the European Union and similar studies. Although the survey was implemented without major problems, it provided opportunities for learning that can be summarised in the following three practical considerations:

- Pilot survey: The pilot survey was of central importance. The pilot results helped to identify problems in the understanding of the questions and the practical implementation of the questionnaire. The collected feedback helped us to refine the survey instrument and to arrive at an optimal setup. We would recommend conducting a pilot survey again in future iterations of the survey, in particular if there will be changes to the questionnaire.
- Monitoring of sociodemographic quota: In the results of the survey, we see in some regions a slight skew in the sample distribution towards certain groups of the population. This may be due to the use of mobile sample and to different patterns in answer behaviour to telephone surveys. Although this skew can be corrected with weighting, we would recommend to closely monitor the sociodemographic quotas in future iterations of such a regional survey.
- Sociodemographic section of questionnaire: A key insight from the interviewer feedback is that some of the questions in the sociodemographic section may be difficult for the interviewers and respondents to understand. This is especially the case for the questions on occupation and education. Although it is clear that certain questions need to be kept as they are to ensure comparability with other iterations of the Global Corruption Barometer, Kantar would recommend reforming the sociodemographic section in future iterations. This can ease the implementation and provide further additional insights.